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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No.	2150/21
Application Number	09/661,499
Filing Date	September 14, 2000
First Named Inventor	Juergen Stark
Group Art Unit	2152
Examiner	

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APR 10 2003

## ENCLOSURES (check all that apply)

Technology Center 2100

<input type="checkbox"/> Amendment / Response to Office Action / Response to Restriction Requirement  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Assignment papers  <input type="checkbox"/> Extension of Time Request (+duplic) & fee <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement, PTO-1449, art <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Formal drawings  <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition To Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <input checked="" type="checkbox"/> Post Card Receipt <input type="checkbox"/> Other:
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.		

## CALCULATION OF FEE

					Small Entity		or	Not Small Entity	
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus		0	x \$9=	0		x \$18=	
Indep.		Minus		0	x \$42=	0		x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=	---		+\$280=	
					total add'l fee	\$ 0		total add'l fee	\$

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey A. Pine (Reg. No. 36,893) BANIAK PINE & GANNON 150 N. Wacker Drive, Suite 1200 Chicago, IL 60606		
Signature		Date	April 4, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:		April, 2003
Signature		Date: April 4, 2003

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PATENT

Case No. 2150/21

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on April 4, 2003.



Jeffrey A. Pine

Name of Applicant, Assignee, or Registered Representative

Signature

April 4, 2003

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

JUERGEN STARK and CRAIG GOREN

Serial No. 09/661,499

Filed: September 14, 2000

Title: INDIVIDUAL XML MESSAGE  
PROCESSING PLATFORM

Examiner:

Group Art Unit: 2152

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 CFR §§ 1.56 and 1.97-1.98, Applicants bring to the attention of the Examiner the documents listed on the enclosed form PTO-1449. Copies of the listed documents are also enclosed. Applicants make no admission that a search has been performed, or that certain of these documents, or what they disclose, are indeed prior art. Applicants request that these documents be reviewed, considered, and made of record.

The pending application claims priority from, *inter alia*, Application Serial No. 60/194,254.

It is believed that no fee is currently due. However, should any fees be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to

deduct said fees from the Baniak Pine & Gannon Deposit Account No. 50-0930. A duplicate copy of this correspondence is enclosed herewith.

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Respectfully submitted,



Jeffrey A. Pine

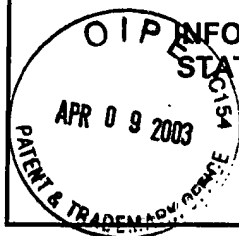
Registration No. 36,893

Attorney for Applicants

April 4, 2003

BANIAK PINE & GANNON  
150 N. Wacker Drive, Suite 1200  
Chicago, Illinois 60606  
(312) 673-0360

FORM PTO-1449



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number:	09/661,499
Filing Date:	September 14, 2000
First Named Inventor:	Juergen Stark
Group Art Unit:	2152
Examiner Name:	---
Attorney Docket Number:	2150/21

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## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Name of Patentee or Applicant of Cited Document	Date	Class/subclass	Filing date
BRB	A1	5,400,020	Jones <i>et al.</i>	03/21/1995	340/994	05/18/93
	A2	5,623,260	Jones	04/22/1997	340/994	05/02/95
	A3	5,657,010	Jones	08/12/1997	340/994	05/02/95
	A4	5,668,543	Jones	09/16/1997	340/994	05/02/95
	A5	5,708,780	Levergood <i>et al.</i>	01/13/1998	395/200.12	06/07/95
	A6	5,796,394	Wicks <i>et al.</i>	08/18/1998	345/329	09/23/97
	A7	5,870,549	Bobo, II	02/09/1999	395/200.36	10/06/97
	A8	5,875,437	Atkins	02/23/1999	705/40	04/15/97
	A9	5,937,161	Mulligan <i>et al.</i>	08/10/1999	395/200.36	04/12/96
	A10	6,029,148	Zurstrassen	02/22/2000	705/36	06/19/97
	A11	6,052,673	Leon <i>et al.</i>	04/18/2000	705/38	11/02/98
	A12	6,073,166	Forsén	06/06/2000	709/206	10/14/97
	A13	6,151,624	Teare <i>et al.</i>	11/21/2000	709/217	02/03/98
	A14	6,154,738	Call	11/28/2000	707/4	05/21/99
	A15	6,157,945	Balma <i>et al.</i>	12/05/2000	709/206	07/01/98
	A16	6,185,603 B1	Henderson <i>et al.</i>	02/06/2001	709/206	03/13/97

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Office and Number	Name of Patentee or Applicant	Date	Class/Subclass	Translation Yes No	
BRB	A17	792 044 A2 EPO	Fuji Xerox Co.	08/27/1997	H04L 9/32		
	A18	WO 01/76119 A2 PCT	Stark	10/11/2000	H04L		
	A19	WO 01/75604 A1 PCT	Stark	10/11/2001	G06F 11/30		
	A20	WO 01/76264 A2 PCT	Stark	10/11/2001	H04Q		
	A21	WO 01/80130 A1 PCT	Stark	10/25/2001	G06F 17/60		

## OTHER PRIOR ART – NON-PATENT LITERATURE DOCUMENTS

Examiner Initial		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	A22	
	A23	
	A24	

EXAMINER

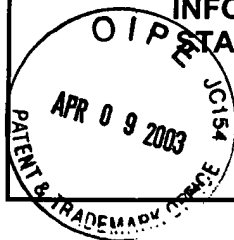
DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2



Application Number:	09/661,499
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**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Name of Patentee or Applicant of Cited Document	Date	Class/subclass	Filing date
BRP	A25	6,212,550 B1	Segur	04/03/2001	709/206	01/21/97
	A26	6,216,165 B1	Woltz <i>et al.</i>	04/10/2001	709/232	11/30/99
	A27	6,219,714 B1	Inhwan <i>et al.</i>	04/17/2001	709/238	12/16/96
	A28	6,278,936 B1	Jones	08/21/2001	701/201	09/30/98
	A29	6,314,402 B1	Monaco <i>et al.</i>	11/06/2001	704/275	94/23/99
	A30	6,313,760 B1	Jones	11/06/2001	340/994	01/19/99
	A31	6,317,060 B1	Jones	11/13/2001	340/994	03/01/00
	A32	6,363,254 B1	Jones <i>et al.</i>	03/26/2002	455/456	09/30/98
	A33	6,363,323 B1	Jones	03/26/2002	701/213	09/14/99
	A34	6,411,891 B1	Jones	06/25/2002	701/201	04/26/00
	A35	6,415,207 B1	Jones	07/02/2002	701/1	03/01/00
	A36					
	A37					
	A38					
	A39					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Office and Number	Name of Patentee or Applicant	Date	Class/Subclass	Translation Yes	No
	A40						
	A41						
	A42						
	A43						

**OTHER PRIOR ART – NON-PATENT LITERATURE DOCUMENTS**

Examiner Initial		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	A44	
	A45	
	A46	

EXAMINER

DATE CONSIDERED

BRP Bruckert

12-1-03

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